# The filamentation instability driven by warm electron beams: Statistics and electric field generation

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Abstract. The filamentation instability of counterpropagating symmetric beams of electrons is examined with 1D and 2D particle-in-cell (PIC) simulations, which are oriented orthogonally to the beam velocity vector. The beams are uniform, warm and their relative speed is mildly relativistic. The dynamics of the filaments is examined in 2D and it is confirmed that their characteristic size increases linearly in time. Currents orthogonal to the beam velocity vector are driven through the magnetic and electric fields in the simulation plane. The fields are tied to the filament boundaries and the scale size of the flow-aligned and the perpendicular currents are thus equal. It is confirmed that the electrostatic and the magnetic forces are equally important, when the filamentation instability saturates in 1D. Their balance is apparently the saturation mechanism of the filamentation instability for our initial conditions. The electric force is relatively weaker but not negligible in the 2D simulation, where the electron temperature is set higher to reduce the computational cost. The magnetic pressure gradient is the principal source of the electrostatic field, when and after the instability saturates in the 1D simulation and in the 2D simulation.

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### 1. Introduction

The filamentation instability (FI) results in the growth and amplification of magnetic fields in astrophysical plasmas and in laser-generated plasmas. Magnetic fields grow, for example, due to the redistribution of currents in an initially current-free system of two counterstreaming electron beams [1, 2]. This is the simplest plasma configuration that gives rise to the FI. The electronic FI grows faster than the competing two-stream and mixed mode instabilities, if the beams have a similar temperature and density and if their flow speed is at least mildly relativistic [3, 4, 5]. A full classification of the competing modes in parameter space is given by Ref. [6, 7].

A wide range of previous numerical investigations of the FI exist, for example the pioneering PIC simulation studies in 1D and in 2D systems [1, 2]. Other important studies are the 1D Vlasov [8] and the 2D PIC simulations [9] of counterstreaming electron beams, involving mobile ions. Relativistic beams and the impact of binary collisions on the FI have been investigated [10]. The impact of a guiding magnetic field on the FI has been studied [11, 12, 13] as well as the combination of filamentation and Weibel instabilities [14]. Equilibrium conditions of the beam-plasma system have been addressed [15]. The statistical distribution of filament sizes has been the focus of 1D and 2D PIC simulation studies [16-19], while the astrophysical relevance of the FI driven by leptonic beams has been assessed in the Refs. [3, 20-26].

Here we revise the special case of the FI, which is driven by counterstreaming electron beams that are equally dense and moderately warm. This FI is electromagnetic during its linear growth phase [4, 7]. We model this FI with particle-in-cell (PIC) simulations. The growth and the saturation of the FI can be described as follows. Initially, the currents of the counterpropagating electron beams cancel each other. The noise inherent to PIC simulations results in fluctuating magnetic fields. A magnetic field fluctuation gives rise to a small separation of the electrons of both beams and, thus, to a net current. This net current amplifies, in turn, the magnetic field. The latter then grows exponentially until the FI saturates [1].

Simulations have evidenced the nonlinear growth of electrostatic fields by the FI and their importance has been pointed out [8, 10]. The comparison of the electric and magnetic field profiles [13, 16] for the case of symmetric beams, when no electrostatic field can grow in the linear phase of the FI, suggested, that the source mechanism is the magnetic pressure gradient force (MPGF). It induces through the acceleration of electrons a current, which drives the electrostatic fields. The fields oscillate around an equilibrium amplitude set by the MPGF [27] after the FI has saturated, unless positrons are present [26]. This current and the electrostatic fields are not affected by the introduction of a spatially uniform flow-aligned magnetic field due to its vanishing contribution to the MPGF [13]. A flow-aligned uniform magnetic field apparently only reduces the linear growth rate of the FI or it suppresses it [11, 13].

This paper is structured as follows. Section 2 outlines the simulation parameters. Section 3 revises a study [27] of the special case of symmetric cool electron beams.

The link between the saturated electrostatic field and the MPGF is illustrated and it is shown that the FI saturates due to an approximate cancelling of the magnetic and electrostatic forces. It is shown quantitatively, rather than qualitatively [13], for the first time that the saturated electric field in the simulation plane equals that expected from the MPGF in a 2D simulation. Section 5 is the summary.

## 2. The PIC simulation method and the initial conditions

The PIC method can, in principle, model all plasma processes in a collisionless plasma. It approximates the plasma phase space distribution by an ensemble of computational particles (CPs), each of which has a position  $\mathbf{x}_{cp}$  and velocity  $\mathbf{v}_{cp}$ . Their charge to mass ratio equals here the  $-e/m_e$  of an electron. The ions form in the simulations discussed here an immobile charge background, which cancels the net electron charge.

A PIC code solves the discretized Maxwell equations for the fields and the Lorentz equation for each CP and it interpolates the quantities defined on the grid to the positions of the particles and vice versa [28]. Our numerical scheme is outlined in Ref. [29]. The physical quantities are normalized as follows. The plasma frequency  $\omega_p = (2n_e e^2/m_e \epsilon_0)^{1/2}$  is obtained from the summed density of the two electron beams we model. Each beam has initially the spatially uniform density  $n_e$ . The skin depth  $\lambda_e = c/\omega_p$ . The quantities in physical units denoted by the subscript p are obtained from the normalized ones by substituting  $\mathbf{E}_p = \omega_p c m_e \mathbf{E}/e$ ,  $\mathbf{B}_p = \omega_p m_e \mathbf{B}/e$ ,  $\mathbf{J}_p = 2e n_e c \mathbf{J}$ ,  $\rho_p = 2e n_e \rho$ ,  $\mathbf{x}_p = \lambda_e \mathbf{x}$ ,  $t_p = t/\omega_p$ ,  $\mathbf{v}_p = \mathbf{v}c$  and  $\mathbf{p}_p = m_e c \mathbf{p}$ . We also normalize  $\Omega = \omega/\omega_p$  and  $\mathbf{k} = \mathbf{k}_p c/\omega_p$ , where  $\omega$  and  $\mathbf{k}_p$  are given in physical units. The equations are

$$\nabla \times \boldsymbol{E} = -\frac{\partial \boldsymbol{B}}{\partial t}, \, \nabla \times \boldsymbol{B} = \frac{\partial \boldsymbol{E}}{\partial t} + \boldsymbol{J}, \, \nabla \cdot \boldsymbol{B} = 0, \, \nabla \cdot \boldsymbol{E} = \rho,$$
 (1)

$$\frac{\mathrm{d}\boldsymbol{p}_{cp}}{\mathrm{d}t} = q_{cp} \left( \boldsymbol{E}[\boldsymbol{x}_{cp}] + \boldsymbol{v}_{cp} \times \boldsymbol{B}[\boldsymbol{x}_{cp}] \right). \tag{2}$$

Initially E = 0 and B = 0. The electron beams have each a speed modulus  $v_b = 0.3c$  and they move in opposite directions along z. The thermal speed  $v_e = (k_B T_e/m_e)^{0.5}$  is set to  $v_b = 9v_e$  (18 $v_e$ ) in the 2D (1D) simulation. The electron temperature in the 2D simulation is higher to reduce the computational cost by the increased Debye length, which determines both the grid cell size and the maximum time step that is possible. We resolve the x direction (1D) or the xy plane (2D), by which we isolate the FI with its  $k \perp z$ . The 2D simulation uses  $1500 \times 1500$  grid cells to resolve the domain  $L_x \times L_y = 90\lambda_e \times 90\lambda_e$ . Each electron beam is represented by 144 CPs per cell. The 1D simulation resolves  $L_1 = 0.89\lambda_e$  by 500 grid cells and each electron beam by  $1.21 \times 10^5$  CPs per cell like in Ref. [27]. The boundary conditions are periodic.

## 3. Simulation results

The FI results in the separation of the currents  $C_z(x,y)$  along z of both beams. We examine it and the current component in the (x,y)-plane, which we denote with

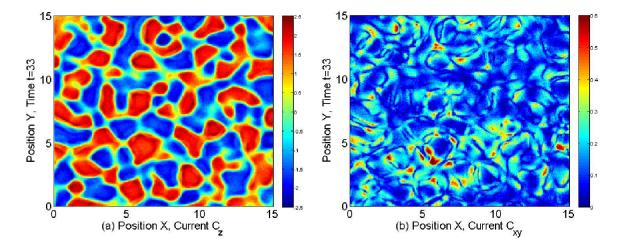


Figure 1. (Colour online) The current in a section of the 2D box at t = 33, normalized to the initial current of one beam: (a) displays the flow-aligned current  $C_z$  and (b) the modulus of the current  $C_{xy} = C_x + iC_y$  in the simulation plane. No obvious connection exists between the beam-aligned current and the perpendicular current.

 $C_{xy}(x,y) = C_x(x,y) + iC_y(x,y)$ . Both currents at the time t=33 in the 2D simulation are displayed in Fig. 1, when the FI has just saturated. Both beams and their contributions to  $C_z(x,y)$  have been separated into domains. The peak modulus is about twice the mean current of one beam. The structures in  $|C_{xy}|$ , which have a significant strength, show in some cases a correlation with those in  $C_z$ . The evolution of  $C_z(x,y)$  is animated in time in the movie 1 and that of  $|C_{xy}(x,y)|$  in the movie 2. Movie 1 shows the initial growth of stationary filaments. These start to merge and deform during the nonlinear phase of the FI. The filament dynamics slows down in time, as the filament size increases relative to the boundary speed. Movie 2 demonstrates, how structures in  $|C_{xy}|$  come and go. They are damped and must thus be driven by  $C_z$ .

The  $C_z(x,y,t)$  and the  $C_{xy}(x,y,t)$  are Fourier transformed to  $C_z(k_x,k_y,t)$  and  $C_{xy}(k_x,k_y,t)$ . The  $P_z(k_x,k_y,t)=|C_z(k_x,k_y,t)|^2$  and  $P_{xy}(k_x,k_y,t)=|C_{xy}(k_x,k_y,t)|^2$  are calculated. We transform  $(k_x,k_y)\to (k\cos\alpha,k\sin\alpha)$  and integrate the power over  $\alpha$  in the k-plane to give the  $P_z(k,t)$  and  $P_{xy}(k,t)$  shown in Fig. 2. The  $P_z(k,t)$  is stronger than  $P_{xy}(k,t)$ , as expected. The k-interval, in which the power peaks in both current components, shifts in time like  $k\propto t^{-1}$ . The characteristic filament size  $\infty$   $k^{-1}$  thus increases linearly with the time. It is evident that the peak power of both spectra is located in the same k-interval at any fixed time, after the FI has saturated. The power  $P_{xy}(k,t)$  maintains its slope at high k, while that of  $P_z(k,t)$  is broadening in time. The shift of the boundary of  $P_z(k,t)$  at low k to larger values of k at late times might be a finite box effect, as we notice the discreteness of k.

Figure 3 displays  $B_x$ ,  $B_y$  and the normalized magnetic pressure  $P_B = (B_x^2 + B_y^2)/2$  at t = 235. The magnetic field vanishes within the filaments and it is strong at their boundaries, as it is demonstrated by  $P_B(x, y)$ . The magnetic reconnection points, e.g. at x = 12 and y = 20, and the locations (x = 20, y = 20), where reconnection is about to

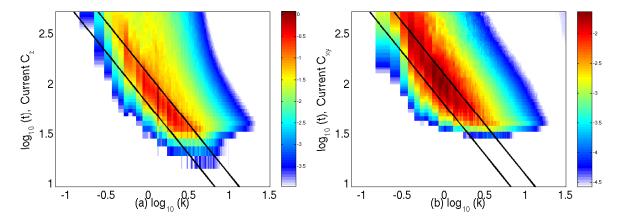
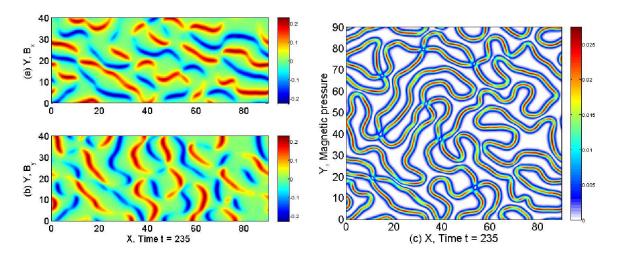


Figure 2. (Colour online) The spatial power spectrum of the currents in the 2D box, integrated over the azimuth in  $(k_x, k_y)$  as a function of time: (a) corresponds to the  $P_z(k,t)$  and (b) to  $P_{xy}(k,t)$ . Both power spectra are normalized to the maximum in (a) and the colour scale is 10-logarithmic. The same two curves  $k \propto t^{-1}$  are overplotted.



**Figure 3.** (Colour online) The magnetic fields at t = 235 in the 2D simulation: (a) displays the  $B_x$  in a subsection of the simulation box and (b) the  $B_y$  in the same interval. The magnetic pressure  $P_B = (B_x^2 + B_y^2)/2$  in the full box is shown in (c).

take place, demonstrate the merging of filaments. The  $P_B(x, y)$  reveals strong gradients, which should result in a significant MPGF. A 1D PIC simulation provides more insight into the relevance of the MPGF. This can be exemplified with the help of the fluid momentum equation [30], which we will reduce for this purpose to one dimension x. Each species with the index s is described by a fluid with the density  $n_s$ , the mean speed  $v_s$  and the pressure tensor  $P_s$  and the equation of motion in SI units is

$$\partial_t(n_s \boldsymbol{v}_s) + \nabla \cdot (n_s \boldsymbol{v}_s \boldsymbol{v}_s) = -\frac{1}{m_e} \nabla \cdot \mathbf{P}_s + \frac{q_s n_s}{m_s} (\boldsymbol{E} + \boldsymbol{v}_s \times \boldsymbol{B}).$$
 (3)

Ampere's law is used to rewrite  $J_s \times B$  with  $J_s = q_s n_s v_s$ . We obtain the equation for

the electron species s = 1 and s = 2, which we model.

$$\partial_t(n_s \boldsymbol{v}_s) + \nabla \cdot (n_s \boldsymbol{v}_s \boldsymbol{v}_s) = -\frac{\nabla}{m_e} \cdot \mathbf{P}_s - \frac{e n_s}{m_e} \boldsymbol{E} - \frac{\nabla \cdot \boldsymbol{B}^2}{2\mu_0 m_e} - \frac{\epsilon_0}{m_e} \boldsymbol{B} \times \partial_t \boldsymbol{E}$$
(4)

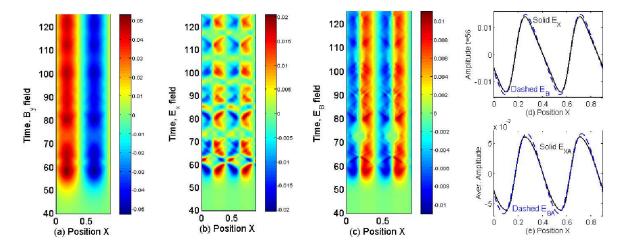
The contribution by the gradient of the magnetic stress tensor  $\mu_0^{-1}(BB)$  is omitted. Its contribution is zero if we go to a 1D geometry, because the gradients along y and z vanish and because  $B_x(x,t) = 0$ . The thermal pressure tensor is diagonal for our initial conditions. The FI results in the 1D simulation in the initial growth of  $B_y$  and  $E_z$ . The electrostatic  $E_x$  grows nonlinearly. All other field components remain at noise levels. The component of Eq. 4 along x simplifies to

$$\partial_t(n_s v_{s,x}) + d_x(n_s v_{s,x}^2) = -\partial_x \frac{n_s k_B T_s}{m_e} - \frac{e n_s}{m_e} E_x - \frac{B_y d_x B_y}{m_e \mu_0} - \frac{\epsilon_0}{m_e} B_y \partial_t E_z. (5)$$

The electric and magnetic fields are computed self-consistently by the PIC simulation using the total charge and current density, which are obtained as  $\rho = \rho_1 + \rho_2 + \rho_i$  and  $J = J_1 + J_2$  from the electron species 1 and 2 and from the background ions. The uniform and constant charge density  $\rho_i$  of the immobile ions cancels initially the electron charge and the ions do not provide a current. The  $d_x(n_s v_{s,x}^2)$  and the thermal pressure gradient can be neglected during the linear growth phase, when only  $B_y$  and  $E_z$  grow. We retain  $\partial_t(n_s v_{s,x}) \propto B_y d_x B_y$ . The displacement current can probably be neglected, because of  $B_y/E_z \approx 100$  [27] and because  $E_z$  grows smoothly and aperiodically. A current grows along x in response to the MPGF, which drives an electrostatic field through  $\partial_t E_x = -J_x/\epsilon_0$  or  $\partial_t^2 E_x \propto -B_y d_x B_y$ , because  $\nabla \times \mathbf{B} = 0$  in a 1D simulation.

The right hand side of Eq. 5 is zero if  $en_sE_x=-B_y\mathrm{d}_xB_y/\mu_0$  for the species s, if the thermal pressure gradient and the term with the displacement current are negligible. This may provide a condition for the saturation of the FI. The aperiodic growth of  $E_x$  may be prescribed by  $\partial_t^2 E_x \propto -B_y d_x B_y$  and the spatial amplitude of  $E_x$  should in this case be proportional to that of the MPGF. An exact cancelling of the term  $\propto E_x$  and the MPGF in Eq. 5 is not possible, if  $n_s$  varies as a function of x. The equations of both electron fluids are summed up to get a condition  $(n_1 + n_2)E_S = -2B_y\mathrm{d}_xB_y/\mu_0$  for the saturation electric field  $E_S$  and we find that  $n_1(x) + n_2(x) \approx 2n_e$  for this particular case study [27]. The normalization (section 2) to  $E_S = -2B_y\mathrm{d}_xB_y$  facilitates the comparison of this feasible saturation condition with what we observe in the simulation. The factor 2 arises from the normalization to  $2n_e$  in section 2. We define  $E_B = E_S/2$ .

Figure 4 compares the fields computed by the 1D PIC simulation. Only one wave period of  $B_y(x,t)$  is resolved by the box length  $L_1$ . The  $B_y$  saturates at  $t \approx 56$  and it remains approximately stationary thereafter. The saturation of  $B_y$  is accompanied by the growth of  $E_x$ . The  $E_x$  has twice the wavenumber of  $B_y$  and it oscillates around a background electric field, which is stationary in space. The latter has the same wavenumber and amplitude as  $E_B$ . The  $E_B$  and  $E_x$  show correlations, e.g. at t = 63. We demonstrate the quantitative match of  $2E_B(x, t = 56)$  and  $E_x(x, t = 56)$ , when the latter reaches its peak amplitude. This accurate match confirms the dominance of the MPGF over the displacement current term at this time, when the second and third term on the

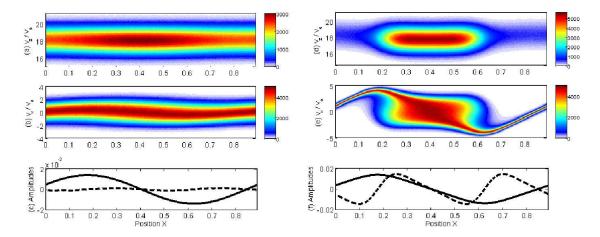


**Figure 4.** (Colour online) The field data from the 1D simulation: (a) and (b) show  $B_y(x,t)$  and  $E_x(x,t)$ , respectively. The  $E_B(x,t)$  is displayed in (c). The  $E_x(x,t=56)$  (solid) and  $2E_B(x,t=56)$  (dashed) are compared in (d), while (e) compares the time-averaged  $E_{XA}(x)$  (solid) and  $E_{BA}(x)$  (dashed).

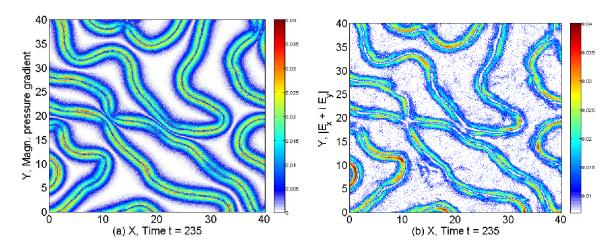
right hand side of Eq. 5 practically cancel each other. A good agreement is also achieved between  $E_{BA}(x) = (t_2 - t_1)^{-1} \int E_B(x,t) dt$  and  $E_{XA}(x) = (t_2 - t_1)^{-1} \int E_x(x,t) dt$ , which have both been integrated from  $t_1 = 60$  to  $t_2 = 130$ . The  $E_x(x,t)$  oscillates with the spatial amplitude  $E_{BA}(x)$  around the stationary background field  $E_{BA}(x)$ . They add up to  $E_x(x,t=56) = 2E_{BA}(x)$  and they cancel each other at the times  $t_0$ , when  $E_x(x,t_0) = 0$  in Fig. 4(b). Ions will only weakly react to the high-frequency oscillation of  $E_x$ , but the stationary  $E_{BA}$  will accelerate them [8]. The ion current and its nonuniform charge will eventually modify the fields and the force balance.

Figure 5 compares the impact of  $E_x$  and of  $B_y$  on the saturation of the FI in the 1D simulation and on the phase space distribution of the beam moving with  $v = +v_b$  for the two times t = 45, 56. The phase space distributions demonstrate that all electrons have a  $v_z \approx v_b$  and a  $|v_x| \ll v_b$ . Movie 3 demonstrates that this is true throughout the simulation by animating the phase space densities  $\log_{10} f(x, v_z, t)$  and  $\log_{10} f(x, v_x, t)$ . This weak heating may be the reason, why the thermal pressure gradient has no obvious influence on the saturation of the FI. Movie 3 reveals the rearrangement of the electrons of this beam into a filament and the vortex formation in  $f(x, v_x)$ .

The normalized electric force on an electron is  $-E_x$ . The normalized magnetic deflection force working on an electron of the beam with  $v_b > 0$  is  $\approx -v_b B_y$ . The magnetic force exceeds by far the electric one at t = 45. Both forces are comparable at t = 56, when the FI saturates, which serves as a further illustration for the saturation condition obtained from Eq. 5. The magnetic deflection force is responsible for the trapping of the filament electrons. The  $E_x$  is repulsive at the centre of the filament and counteracts the magnetic trapping. It is attractive at longer distances, facilitating the filament overlap [27]. The  $E_x$  limits the peak density of the filament and, thus, the current it can carry [26]. The combined action of both forces is to confine the electrons



**Figure 5.** (Colour online) Projections  $f(x, v_z)$  and  $f(x, v_x)$  of the electron phase space distributions and plots of the fields at t = 45 (left column) and t = 56 (right column). The colour scale of  $f(x, v_z)$  in (a,d) and  $f(x, v_x)$  (b,e) is linear and in units of CPs. The curves  $v_b B_y$  (solid) and  $E_x$  (dashed) are plotted in (c,e).



**Figure 6.** (Colour online) The magnitude of  $E_B$  is shown for a subsection of the box at t = 235 in (a). The electric field modulus  $|E_x + iE_y|$  is displayed in (b) for the same time and box interval. Both distributions have been computed by the 2D simulation.

into a filament in  $f(x, v_z)$  and a vortex in  $f(x, v_x)$ . The periodic oscillations of these electrons and their  $J_x$  in the potential (Movie 3) give rise to the oscillatory  $E_x$ .

Figure 6 compares the magnitude of  $\mathbf{E}_B = -(d_x \mathbf{B}^2, d_y \mathbf{B}^2)$  with the modulus of the complex electric field  $E_x + iE_y$  in the 2D simulation at t = 235. We select a late time, because then the filament dynamics is not so fast (movie 1) compared to the oscillation frequency of the electric field (Fig. 4). The boundaries are also quasi-planar, by which they become locally one-dimensional. This should reduce the importance of the magnetic tension force relative to the MPGF. The  $|\mathbf{E}_B|$  and  $|E_x + iE_y|$  are spatially correlated, they have the same magnitude and they reveal a split in the centre of the band. This split occurs in the 1D simulation, when  $d_x B_y = 0$ . It is thus likely, that

the electric field in the simulation plane is driven practically exclusively by the MPGF. Both fields are out of phase at some places, e.g. at (x, y) = (10, 10), where  $|\mathbf{E}_B|$  does not have a maximum. This may be explained with the interplay of  $\mathbf{J}$  with  $\mathbf{E}$ , causing oscillations of the electric field. The peak modulus of  $|\mathbf{E}_B| \approx 0.04$ , which is about half the peak value of the magnetic deflection forces  $v_b|B_x|$  or  $v_b|B_y|$  in Fig. 3.

# 4. Discussion

We have investigated the FI with 1D and 2D PIC simulations, where the beam velocity vector is orthogonal to the simulation direction or plane. The uniform, cool and symmetric electron beams have a mildly relativistic relative speed. The 2D simulation has provided insight into the interplay of the filaments, e.g. their merging, during the nonlinear phase of the FI. The filaments formed by the beam-aligned current couple to the perpendicular currents through the electromagnetic fields. The fields are confined to the filament boundaries and the characteristic size of the structures in the flow-aligned and the perpendicular current are thus equal. This characteristic size increases linearly with the time. The power spectrum of the damped perpendicular currents showed for all times after the FI has saturated a constant slope at large wavenumbers, which follows approximately a power law, albeit over a narrow range of wavenumbers [18].

The 1D PIC simulation using the same setup as in Ref. [27] revealed a feasible source mechanism for the electrostatic fields, which develop during the nonlinear phase of the filamentation instability. The MPGF accelerates the electrons and a current develops, which couples through Amperes law into the electrostatic field. This mechanism is suppressed by selecting beams of equally dense electrons and positrons, because here the currents of both species cancel [26]. The growth of the electrostatic fields is not affected by a spatially uniform and flow-aligned magnetic field, because its MPGF contribution vanishes [13]. It can reduce the growth rate of the FI though.

The current and the electric field oscillate in the 1D simulation after the FI has saturated. The spatial profile of the time-averaged electrostatic field amplitude is that expected from the MPGF. The electric field amplitude oscillates between the initial value  $E_x(x,t=0)=0$  and twice its mean amplitude. The nonlinear terms in a 1D fluid equation due to the electrostatic field and the MPGF cancel approximately, when the electrostatic field peaks. This may suggest that, for the special case of symmetric and nonrelativistic electron beams considered here, the FI saturates due to the balancing of the electrostatic and magnetic forces. We demonstrated for the first time that the MPGF is also responsible for the electric field in the simulation plane of the 2D PIC simulation. The electric field amplitude in the 2D simulation is weaker than that in the 1D simulation, but it is not negligible. This might be a consequence of the increased electron temperature that reduced the computational cost of the 2D simulation. The magnetic energy density we obtain is about 5% (10%) of the total energy in the 1D (2D) simulation. The magnetic field amplitude for a plasma frequency  $\approx$  10 kHz, which is representative for the solar wind, would be about 20 nT.

Our findings will be relevant for (almost) symmetric electron beams. Selecting asymmetric beams facilitates plasma equilibria [15], rather than a time-dependent evolution. The FI will then also result in the generation of electrostatic fields during its linear growth phase [4] and the mixed mode instability will outrun the FI. Highly relativistic beams will probably break the simple relation between the MPGF, the current and the electrostatic field. The thermal pressure gradient and the magnetic tension may not be negligible for other initial conditions and during the initial nonlinear stage, when the filament boundary curvature is higher. The competition of the FI with the mixed mode instability and the electrostatic two-stream instability, which saturates by forming phase space holes, as well as the flux tube bending, will introduce nonlinear effects that can only be addressed with large-scale 3D PIC and Vlasov simulations.

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